

# PRIVATE INVESTOR DECLARATION



Fixed Income · Equities · Foreign Exchange  
Commodities · Interest Rates

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A private investor is a customer who is not required to be regulated or supervised by a body concerned with the regulation or supervision of investment or financial services and who fulfils the criteria below:

1. The customer subscribes (i.e. registers and pays) to the service in a personal capacity.
2. The customer is not currently registered or qualified as a professional securities trader or investment adviser with any national or state exchange, regulatory authority, professional association or recognized professional body.
3. The customer does not currently act in any capacity as an investment adviser, whether or not they have at some time been qualified to do so.
4. The customer uses the service solely in relation to the management of their personal funds and not as a trader to the public or for the investment of corporate funds.
5. The customer does not distribute, republish or otherwise provide any data from the service to any third party in any manner.
6. The data charge is designed to cover individuals and will be maintained in the customer's name and not in the company name – the exception to this would be a share club operating on a non-professional basis.

Please confirm that you the user qualify as a Private Investor as defined above:

**Signed:**

**Date:**

**First Name:**

**Last Name:**

**Company Name:  
(if applicable)**

**Address:**

**Account No.:**